

OCT.29'2002 11:10

HOLLAND & KNIGHT LLP

One Atlantic Center
1201 West Peachtree Street, N.E.
Suite 2000
Atlanta, Georgia 30309-3400

404-817-8500
404-881-0470 FAX

www.hklaw.com

Annapolis
Atlanta
Bethesda
Boston
Bradenton
Chicago
Fort Lauderdale
Jacksonville
Lakeland
Los Angeles
Melbourne
Miami
New York
Northern Virginia
Orlando
Portland
Providence
St. Petersburg
San Antonio

#6346 P.001

San Francisco
Seattle
Tallahassee
Tampa
Washington, D.C.
West Palm Beach
Holland & Knight LLC
International Offices:
Caracas
Helsinki
Mexico City
Rio de Janeiro
São Paulo
Tel Aviv
Tokyo
Representative Offices

FACSIMILE

TO:

Office of Initial Patent

Examination

Filing Receipt Corrections

NAME

Arlington

CITY

U.S. Patent and

Trademark Office

COMPANY/FIRM

VA

STATE

703-746-9195

FAX NUMBER

(TELEPHONE NUMBER)

FROM: Pam Turnbough for

Rivka D. Monheit

NAME

404-817-8514

TELEPHONE

12

TOTAL PAGES (Including Cover Sheet)

FOR THE RECORD:

DATE: October 29, 2002

URGENCY: ☐ SUPER RUSH

☒ RUSH

☐ REGULAR

FAXED BY:

FILE #: 077588/00004

CLIENT NAME: SYM 117

CONFIRMED: ☐ YES ☐ NO

NAME:

TIME:

If you did not receive all of
the pages or find that they
are illegible, please call
(404) 817-8500

CONFIDENTIALITY NOTICE: This facsimile, along with any documents, files, or attachments, may contain information that is confidential, privileged, or otherwise exempt from disclosure. If you are not the intended recipient or a person responsible for delivering it to the intended recipient, you are hereby notified that any disclosure, copying, printing, distribution or use of any information contained in or attached to this facsimile is strictly prohibited. If you have received this facsimile in error, please immediately notify us by facsimile or by telephone collect at the numbers stated above, and destroy the original facsimile and its attachments without reading, printing, or saving in any manner. Your cooperation is appreciated. Thank you.

MESSAGE:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Maria-Luisa Maccacchini and Xue-Feng Pei

Serial No.: 10/021,177

Group Art Unit: 1614

Filed: October 30, 2001

Examiner: Not yet assigned

For: **METHOD FOR MODULATION, STIMULATION, AND INHIBITION OF
GLUTAMATE REUPTAKE**

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002, OMB 0851-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/021,177	
	Filing Date	October 30, 2001	
	First Named Inventor	Maria-Luisa Maccacchini	
	Group Art Unit	1614	
	Examiner Name	Not yet assigned	
Total Number of Pages in This Submission	11	Attorney Docket Number	SYM 117

ENCLOSURES <i>(check all that apply)</i>		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i> Request for Corrected Filing Receipt; copy of Updated Filing Receipt; copy of corrected Application Data Sheet
Remarks <input style="width: 100%;" type="text"/>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Rivka D. Monheit; Reg. No.: 48,731 Suite 2000, One Atlantic Center; 1201 West Peachtree Street, N.E.; Atlanta, GA 30309-3400	
Signature	<i>Rivka D. Monheit</i>	
Date	October 29, 2002	

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: October 29, 2002			
Typed or printed name	See Certificate of Facsimile Transmission		
Signature		Date	October 29, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Maria-Luisa Maccicchini and Xue-Feng Pei

Serial No.: 10/021,177

Group Art Unit: 1614

Filed: October 30, 2001

Examiner: Not yet assigned

For: *METHOD FOR MODULATION, STIMULATION, AND INHIBITION OF
GLUTAMATE REUPTAKE*

U.S. Patent and Trademark Office
Office of Initial Patent Examination
Filing Receipt Corrections
P.O. Box 2327
Arlington, VA 22202

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Applicants respectfully request correction to the official Filing Receipt mailed June 6, 2002, to correct the following errors.

The title of the application is incorrect. The correct title is: "*METHOD FOR MODULATION, STIMULATION, AND INHIBITION OF GLUTAMATE REUPTAKE*"; not "*METHODS FOR MODULATION, STIMULATION, AND INHIBITION OF GLUTAMATE REUPTAKE*".

A copy of the Filing Receipt with this change noted thereon is enclosed, together with a copy of the corrected Application Data Sheet submitted with the Response to Notice to File Missing Parts of Nonprovisional Application filed on April 30, 2002, which contains the correct title.

U.S.S.N: 10/021,177
Filed: October 30, 2001
Request for Corrected Filing Receipt

No fees are due in connection with this Request For Corrected Filing Receipt. However, should any fee be deemed due, the Commissioner is hereby authorized to charge such fee, or credit any overpayment in connection with this matter, to Deposit Account No. 50-1868. A duplicate of this transmittal is enclosed to facilitate this process.

Respectfully submitted,

Rivka D. Monheit
Rivka D. Monheit
Reg. No. 48,731

Date: October 28, 2002

HOLLAND & KNIGHT LLP
Suite 2000, One Atlantic Center
1201 West Peachtree Street, N.E.
Atlanta, Georgia 30309-3400
(404) 817-8514 (Telephone)
(404) 817-8588 (Fax)

U.S.S.N: 10/021,177
Filed: October 30, 2001
Request for Corrected Filing Receipt

CERTIFICATE OF TRANSMISSION UNDER 37 CFR §1.8(b)

I hereby certify that this Request for Corrected Filing Receipt and any documents referred to as attached therein are being transmitted via facsimile to the **Office of Initial Patent Examination's Filing Receipt Corrections** of the United States Patent and Trademark Office at (703) 746-9195 on the date shown below.



Pam Tumbough

Date: October 29, 2002

ATL1 #550132 v1.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FILE FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/021,177	10/30/2001	1614	435	SYM 117	13	9	1

CONFIRMATION NO. 1396

23579

PATREA L. PABST
HOLLAND & KNIGHT LLP
SUITE 2000, ONE ATLANTIC CENTER
1201 WEST PEACHTREE STREET, N.E.
ATLANTA, GA 30309-3400

UPDATED FILING RECEIPT



OC000000006246221

Date Mailed: 06/08/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Maria-Luisa Maccicchini, West Chester, PA;
Xue-Feng Pei, Lansdale, PA;

Assignment For Published Patent Application

Annovis, Inc.;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/244,252 10/30/2000

Foreign Applications

If Required, Foreign Filing License Granted 03/21/2002

Projected Publication Date: 09/12/2002

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Docketed for _____
By: 403
Date: 6-13-02

COPY

RECEIVED

JUN 10 2002

PATENT DEPT

~~Method~~ for modulation, stimulation, and inhibition of glutamate reuptake
Method

Preliminary Class

514

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

COPY

APPLICATION DATA SHEET**Inventor Information**

Inventor Two Given Name:: Maria-Luisa
Family Name:: Maccicchini
Name Suffix::
Postal Address Line One:: 1223 Foxglove Lane
Postal Address Line Two::
City:: West Chester
State or Province:: PA
Country::
Postal or Zip Code:: 19388
City of Residence:: West Chester
State or Prov. of Residence:: PA
Country of Residence::
Citizenship Country:: US

Inventor One Given Name:: Xue-Feng
Family Name:: Pei
Name Suffix::
Postal Address Line One:: 1157 Jarvis
Postal Address Line Two::
City:: Lansdale
State or Province:: PA
Country::
Postal or Zip Code:: 19446
City of Residence:: Lansdale
State or Prov. of Residence:: PA
Country of Residence::
Citizenship Country:: China

COPY**Correspondence Information:**

Correspondence Customer Number:: 23579
Telephone:: 404-817-8473
Fax:: 404-817-8588
Electronic Mail One:: jcstines@hklaw.com
Electronic Mail Two:: ppabst@hklaw.com

U.S.S.N. 10/021,177
Filed: October 30, 2001
Application Data Sheet

Application Information:

Title Line One:: Method for Modulation, Stimulation,
Title Line Two:: and Inhibition of Glutamate Reuptake
Title Line Three::
Total Drawing Sheets:: 13
Formal Drawings?:: Yes
Application Type:: Utility
Docket Number:: SYM 117
Licensed US Govt. Agency::
Contract or Grant Numbers One::
Contract or Grant Numbers Two::
Secrecy Order in Parent Appl.?:: No

Assignee:: Annovis, Inc.
State of Incorporation:: Delaware

Representative Information

Representative Customer Number:: 23579

Continuity Information

This application is a:: Non Prov. of Provisional
>Application One:: 60/244,252
Filing Date:: October 30, 2000
Patent Number::

COPY

ATL1#491107 v1